Using the Open Source ASN.1 Compiler

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Part I Using the ASN.1 Compiler

Chapter 1

Introduction to the ASN.1 Compiler

The purpose of the ASN.1 compiler is to convert the specifications in ASN.1 notation into some other language. At this moment, only C and C++ target languages are supported, the latter is in upward compatibility mode.

The compiler reads the specification and emits a series of target language structures (C structs, unions, enums) describing the corresponding ASN.1 types. The compiler also creates the code which allows automatic serialization and describination of these structures using several standardized encoding rules (BER, DER, XER, PER).

For example, suppose the following ASN.1 module is given¹:

```
RectangleTest DEFINITIONS ::= BEGIN

Rectangle ::= SEQUENCE {
   height INTEGER, -- Height of the rectangle
   width INTEGER -- Width of the rectangle
}
```

The compiler would read this ASN.1 definition and produce the following C type:

```
typedef struct Rectangle_s {
    long height;
    long width;
} Rectangle_t;
```

¹Part II on page 24 provides a quick reference on the ASN.1 notation.

It would also create the code for converting this structure into platform-independent wire representation (a serializer API) and the decoder of such wire representation back into local, machine-specific type (a deserializer API).

1.1 Quick start with asn1c

After building and installing the compiler, the *asn1c* command may be used to compile the ASN.1 modules²:

```
asn1c <modules.asn1>
```

If several ASN.1 modules contain interdependencies, all of the files must be specified altogether:

```
asn1c <module1.asn1> <module2.asn1> ...
```

The compiler **-E** and **-EF** options are used for testing the parser and the semantic fixer, respectively. These options will instruct the compiler to dump out the parsed (and fixed, if **-F** is involved) ASN.1 specification as it was understood by the compiler. It might be useful to check whether a particular syntactic construct is properly supported by the compiler.

```
asn1c -EF <module-to-test.asn1>
```

The **-P** option is used to dump the compiled output on the screen instead of creating a bunch of .c and .h files on disk in the current directory. You would probably want to start with **-P** option instead of creating a mess in your current directory. Another option, **-R**, asks compiler to only generate the files which need to be generated, and supress linking in the numerous support files.

Print the compiled output instead of creating multiple source files:

```
asn1c -P <module-to-compile-and-print.asn1>
```

²This is probably **not** what you want to try out right now. Read through the rest of this chapter and check the Section 1.3 on page 7 to find out about **-P** and **-R** options.

1.2 Recognizing compiler output

The asn1c compiler produces a number of files:

- A set of .c and .h files for each type defined in the ASN.1 specification. These files will be named similarly to the ASN.1 types (*Rectangle.c* and *Rectangle.h* for the RectangleTest ASN.1 module defined in the beginning of this document).
- A set of helper .c and .h files which contain the generic encoders, decoders and other
 useful routines. There will be quite a few of them, some of them are not even always
 necessary, but the overall amount of code after compilation will be rather small anyway.
- A converter-sample.c file containing the int main() function with a fully functioning decoder. It can convert a given PDU between BER, XER and possibly PER (if -gen-PER option to asn1c was in effect). At some point you will want to replace this file with your own file containing the int main() function.
- A Makefile.am.sample file mentioning all the files created at the earlier steps. This file is suitable for either automake suite or the plain 'make' utility. Just rename it into Makefile.

It is possible to compile everything with just a couple of instructions:

Refer to the Chapter 3 on page 18 for a sample *int main()* function if you want some custom logic and not satisfied with the supplied *converter-sample.c.*

1.3 Command line options

The following table summarizes the asn1c command line options.

Overall Options	Description
-E	Stop after the parsing stage and print the reconstructed ASN.1 specification code to the standard output.
-F	Used together with -E, instructs the compiler to stop after the ASN.1 syntax tree fixing stage and dump the reconstructed ASN.1 specification to the standard output.
-P	Dump the compiled output to the standard output instead of creating the target language files on disk.
-R	Restrict the compiler to generate only the ASN.1 tables, omitting the usual support code.
-S <directory></directory>	Use the specified directory with ASN.1 skeleton files.
-X	Generate the XML DTD for the specified ASN.1 modules.
Warning Options	Description
-Werror	Description Treat warnings as errors; abort if any warning is produced.
	`
-Werror	Treat warnings as errors; abort if any warning is produced.
-Werror -Wdebug-lexer	Treat warnings as errors; abort if any warning is produced. Enable lexer debugging during the ASN.1 parsing stage. Enable ASN.1 syntax tree fixer debugging during the fixing
-Werror -Wdebug-lexer -Wdebug-fixer	Treat warnings as errors; abort if any warning is produced. Enable lexer debugging during the ASN.1 parsing stage. Enable ASN.1 syntax tree fixer debugging during the fixing stage.

-fcompound-names	Use complex names for C structures. Using complex names prevents name clashes in case the module reuses the same identifiers in multiple contexts.
-findirect-choice	When generating code for a CHOICE type, compile the CHOICE members as indirect pointers instead of declaring them inline. Consider using this option together with -fno-include-deps to prevent circular references.
-fknown-extern-type=< <i>name</i> >	Pretend the specified type is known. The compiler will assume the target language source files for the given type have been provided manually.
-fno-constraints	Do not generate ASN.1 subtype constraint checking code. This may produce a shorter executable.
-fno-include-deps	Do not generate courtesy #include lines for non-critical dependencies.
-funnamed-unions	Enable unnamed unions in the definitions of target language's structures.
-fwide-types	Use the wide integer types (INTEGER_t, REAL_t) instead of machine's native data types (long, double).
Codecs Generation Options	
	Description
-gen-PER	Generate Packed Encoding Rules (PER) support code.
-gen-PER -pdu= <i>auto</i>	<u>·</u>
-	Generate Packed Encoding Rules (PER) support code. Generate PDU tables by discovering Protocol Data Units automatically. Also accepts a special keyword <i>all</i> or a particular
-pdu= <i>auto</i>	Generate Packed Encoding Rules (PER) support code. Generate PDU tables by discovering Protocol Data Units automatically. Also accepts a special keyword <i>all</i> or a particular type to be used as a PDU.

Chapter 2

Using the ASN.1 Compiler

2.1 Invoking the ASN.1 helper code

First of all, you should include one or more header files into your application. Typically, it is enough to include the header file of the main PDU type. For our Rectangle module, including the Rectangle.h file is sufficient:

```
#include <Rectangle.h>
```

The header files defines the C structure corresponding to the ASN.1 definition of a rectangle and the declaration of the ASN.1 type descriptor, which is used as an argument to most of the functions provided by the ASN.1 module. For example, here is the code which frees the Rectangle_t structure:

```
Rectangle_t *rect = ...;
asn DEF Rectangle.free struct(&asn DEF Rectangle, rect, 0);
```

This code defines a *rect* pointer which points to the Rectangle_t structure which needs to be freed. The second line invokes the generic *free_struct()* routine created specifically for this Rectangle_t structure. The *asn_DEF_Rectangle* is the type descriptor, which holds a collection of routines to deal with the Rectangle_t structure.

The following member functions of the asn_DEF_Rectangle type descriptor are of interest:

ber_decoder This is the generic *restartable*¹ BER decoder (Basic Encoding Rules). This decoder would create and/or fill the target structure for you. See Section 2.1.1 on the next page.

¹Restartable means that if the decoder encounters the end of the buffer, it will fail, but may later be invoked again with the rest of the buffer to continue decoding.

- der_encoder This is the generic DER encoder (Distinguished Encoding Rules). This encoder will take the target structure and encode it into a series of bytes. See Section 2.1.2 on page 13. NOTE: DER encoding is a subset of BER. Any BER decoder should be able to handle DER input.
- **xer_decoder** This is the generic XER decoder. It takes both BASIC-XER or CANONICAL-XER encodings and deserializes the data into a local, machine-dependent representation. See Section 2.1.4 on page 15.
- **xer_encoder** This is the XER encoder (XML Encoding Rules). This encoder will take the target structure and represent it as an XML (text) document using either BASIC-XER or CANONICAL-XER encoding rules. See Section 2.1.3 on page 14.
- uper_decoder This is the Unaligned PER decoder.
- **uper_encoder** This is the Unaligned Basic PER encoder. This encoder will take the target structure and encode it into a series of bytes.
- **check_constraints** Check that the contents of the target structure are semantically valid and constrained to appropriate implicit or explicit subtype constraints. See Section 2.1.5 on page 15.
- **print_struct** This function convert the contents of the passed target structure into human readable form. This form is not formal and cannot be converted back into the structure, but it may turn out to be useful for debugging or quick-n-dirty printing. See Section 2.1.6 on page 16.
- **free_struct** This is a generic disposal which frees the target structure. See Section 2.1.7 on page 16.

Each of the above function takes the type descriptor (*asn_DEF_...*) and the target structure (*rect*, in the above example).

2.1.1 Decoding BER

The Basic Encoding Rules describe the most widely used (by the ASN.1 community) way to encode and decode a given structure in a machine-independent way. Several other encoding rules (CER, DER) define a more restrictive versions of BER, so the generic BER parser is also capable of decoding the data encoded by CER and DER encoders. The opposite is not true.

The ASN.1 compiler provides the generic BER decoder which is capable of decoding BER, CER and DER encoded data.

The decoder is restartable (stream-oriented), which means that in case the buffer has less data than it is expected, the decoder will process whatever there is available and ask for more data to be provided. Please note that the decoder may actually process less data than it was given in the buffer, which means that you must be able to make the next buffer contain the unprocessed part of the previous buffer.

Suppose, you have two buffers of encoded data: 100 bytes and 200 bytes.

- You can concatenate these buffers and feed the BER decoder with 300 bytes of data, or
- You can feed it the first buffer of 100 bytes of data, realize that the ber_decoder consumed only 95 bytes from it and later feed the decoder with 205 bytes buffer which consists of 5 unprocessed bytes from the first buffer and the additional 200 bytes from the second buffer.

This is not as convenient as it could be (the BER encoder could consume the whole 100 bytes and keep these 5 bytes in some temporary storage), but in case of existing stream based processing it might actually fit well into existing algorithm. Suggestions are welcome.

Here is the simplest example of BER decoding:

```
Rectangle t *
simple deserializer(const void *buffer, size t buf size) {
    Rectangle t *rect = 0; /* Note this 0^2! */
    asn dec rval t rval;
    rval = asn DEF Rectangle.ber decoder(0,
          &asn DEF Rectangle,
          (void **)&rect,
                             /* Decoder moves the pointer */
          buffer, buf size,
          0);
    if(rval.code == RC_OK) {
        return rect;
                              /* Decoding succeeded */
    } else {
        /* Free partially decoded rect */
        asn DEF Rectangle.free struct(&asn DEF Rectangle, rect,
           0);
```

²Forgetting to properly initialize the pointer to a destination structure is a major source of support requests.

```
return 0;
}
```

The code above defines a function, *simple_deserializer*, which takes a buffer and its length and is expected to return a pointer to the Rectangle_t structure. Inside, it tries to convert the bytes passed into the target structure (rect) using the BER decoder and returns the rect pointer afterwards. If the structure cannot be deserialized, it frees the memory which might be left allocated by the unfinished *ber_decoder* routine and returns 0 (no data). (This **freeing is necessary** because the ber_decoder is a restartable procedure, and may fail just because there is more data needs to be provided before decoding could be finalized). The code above obviously does not take into account the way the *ber_decoder()* failed, so the freeing is necessary because the part of the buffer may already be decoded into the structure by the time something goes wrong.

A little less wordy would be to invoke a globally available ber_decode() function instead of dereferencing the asn_DEF_Rectangle type descriptor:

```
rval = ber_decode(0, &asn_DEF_Rectangle, (void **)&rect, buffer,
   buf_size);
```

Note that the initial (asn_DEF_Rectangle.ber_decoder) reference is gone, and also the last argument (0) is no longer necessary.

These two ways of BER decoder invocations are fully equivalent.

The BER decoder may fail because of (the following RC_... codes are defined in ber_decoder.h):

- RC_WMORE: There is more data expected than it is provided (stream mode continuation feature);
- RC FAIL: General failure to decode the buffer;
- ... other codes may be defined as well.

Together with the return code (.code) the asn_dec_rval_t type contains the number of bytes which is consumed from the buffer. In the previous hypothetical example of two buffers (of 100 and 200 bytes), the first call to ber_decode() would return with .code = RC_WMORE and .consumed = 95. The .consumed field of the BER decoder return value is **always** valid, even if the decoder succeeds or fails with any other return code.

Look into ber decoder.h for the precise definition of ber decode() and related types.

2.1.2 Encoding DER

The Distinguished Encoding Rules is the *canonical* variant of BER encoding rules. The DER is best suited to encode the structures where all the lengths are known beforehand. This is probably exactly how you want to encode: either after a BER decoding or after a manual fill-up, the target structure contains the data which size is implicitly known before encoding. Among other uses, the DER encoding is used to encode X.509 certificates.

As with BER decoder, the DER encoder may be invoked either directly from the ASN.1 type descriptor (asn_DEF_Rectangle) or from the stand-alone function, which is somewhat simpler:

```
/*
 * This is the serializer itself.
 * It supplies the DER encoder with the
 * pointer to the custom output function.
 */
ssize t
simple serializer(FILE *ostream, Rectangle t *rect) {
    asn_enc_rval_t er; /* Encoder return value */
    er = der encode(&asn DEF Rect, rect, write stream, ostream);
    if(er.encoded == -1) {
        /*
         * Failed to encode the rectangle data.
        fprintf(stderr, "Cannot encode %s: %s\n",
            er.failed type->name, strerror(errno));
        return -1;
    } else {
        /* Return the number of bytes */
        return er.encoded;
    }
}
```

As you see, the DER encoder does not write into some sort of buffer or something. It just invokes the custom function (possible, multiple times) which would save the data into appropriate storage. The optional argument *app_key* is opaque for the DER encoder code and just used by *_write_stream()* as the pointer to the appropriate output stream to be used.

If the custom write function is not given (passed as 0), then the DER encoder will essentially do the same thing (i. e., encode the data) but no callbacks will be invoked (so the data

goes nowhere). It may prove useful to determine the size of the structure's encoding before actually doing the encoding³.

Look into der encoder.h for the precise definition of der encode() and related types.

2.1.3 Encoding XER

The XER stands for XML Encoding Rules, where XML, in turn, is eXtensible Markup Language, a text-based format for information exchange. The encoder routine API comes in two flavors: stdio-based and callback-based. With the callback-based encoder, the encoding process is very similar to the DER one, described in Section 2.1.2 on the preceding page. The following example uses the definition of write_stream() from up there.

```
/*
 * This procedure generates the XML document
 * by invoking the XER encoder.
 * NOTE: Do not copy this code verbatim!
         If the stdio output is necessary,
         use the xer fprint() procedure instead.
         See Section 2.1.6 on page 16.
 */
int
print as XML(FILE *ostream, Rectangle t *rect) {
    asn enc rval t er; /* Encoder return value */
    er = xer encode(&asn DEF Rectangle, rect,
        XER F BASIC, /* BASIC-XER or CANONICAL-XER */
        write stream, ostream);
    return (er.encoded == -1) ? -1 : 0;
}
```

Look into xer_encoder.h for the precise definition of xer_encode() and related types.

See Section 2.1.6 for the example of stdio-based XML encoder and other pretty-printing suggestions.

³It is actually faster too: the encoder might skip over some computations which aren't important for the size determination.

2.1.4 Decoding XER

The data encoded using the XER rules can be subsequently decoded using the xer_decode() API call:

```
Rectangle t *
XML to Rectangle(const void *buffer, size t buf size) {
    Rectangle t *rect = 0; /* Note this 04! */
    asn dec_rval_t rval;
    rval = xer decode(0, &asn DEF Rectangle, (void **)&rect,
       buffer, buf size);
    if(rval.code == RC OK) {
                               /* Decoding succeeded */
        return rect;
    } else {
        /* Free partially decoded rect */
        asn DEF Rectangle.free struct(&asn DEF Rectangle, rect,
           0);
        return 0;
    }
}
```

The decoder takes both BASIC-XER and CANONICAL-XER encodings.

The decoder shares its data consumption properties with BER decoder; please read the Section 2.1.1 on page 10 to know more.

Look into xer decoder.h for the precise definition of xer decode() and related types.

2.1.5 Validating the target structure

Sometimes the target structure needs to be validated. For example, if the structure was created by the application (as opposed to being decoded from some external source), some important information required by the ASN.1 specification might be missing. On the other hand, the successful decoding of the data from some external source does not necessarily mean that the data is fully valid either. It might well be the case that the specification describes some subtype constraints that were not taken into account during decoding, and it would actually be useful to perform the last check when the data is ready to be encoded or when the data has just been decoded to ensure its validity according to some stricter rules.

⁴Forgetting to properly initialize the pointer to a destination structure is a major source of support requests.

The asn_check_constraints() function checks the type for various implicit and explicit constraints. It is recommended to use asn_check_constraints() function after each decoding and before each encoding.

Look into constraints.h for the precise definition of asn_check_constraints() and related types.

2.1.6 Printing the target structure

There are two ways to print the target structure: either invoke the print_struct member of the ASN.1 type descriptor, or using the asn_fprint() function, which is a simpler wrapper of the former:

```
asn_fprint(stdout, &asn_DEF_Rectangle, rect);
```

Look into constr TYPE.h for the precise definition of asn fprint() and related types.

Another practical alternative to this custom format printing would be to invoke XER encoder. The default BASIC-XER encoder performs reasonable formatting for the output to be useful and human readable. To invoke the XER decoder in a manner similar to asn_fprint(), use the xer_fprint() call:

```
xer_fprint(stdout, &asn_DEF_Rectangle, rect);
See Section 2.1.3 on page 14 for XML-related details.
```

2.1.7 Freeing the target structure

Freeing the structure is slightly more complex than it may seem to. When the ASN.1 structure is freed, all the members of the structure and their submembers are recursively freed as well. But it might not be feasible to free the structure itself. Consider the following case:

In this example, the application programmer defined a custom structure with one ASN.1-derived member (rect). This member is not a reference to the Rectangle_t, but an in-place inclusion of the Rectangle_t structure. If the freeing is necessary, the usual procedure of

freeing everything must not be applied to the &rect pointer itself, because it does not point to the memory block directly allocated by the memory allocation routine, but instead lies within a block allocated for the my figure structure.

To solve this problem, the free_struct routine has the additional argument (besides the obvious type descriptor and target structure pointers), which is the flag specifying whether the outer pointer itself must be freed (0, default) or it should be left intact (non-zero value).

```
/* 1. Rectangle t is defined within my figure */
struct my figure {
    Rectangle t rect;
*mf = ...;
/*
 * Freeing the Rectangle t
 * without freeing the mf->rect area.
 */
asn DEF Rectangle.free struct(
    &asn DEF Rectangle, &mf->rect, 1 /* !free */);
/* 2. Rectangle t is a stand-alone pointer */
Rectangle t *rect = ...;
 * Freeing the Rectangle t
 * and freeing the rect pointer.
 */
asn DEF Rectangle.free struct(
    &asn DEF Rectangle, rect, 0 /* free the pointer too */);
```

It is safe to invoke the *free_struct* function with the target structure pointer set to 0 (NULL), the function will do nothing.

For the programmer's convenience, the following macros are available:

```
ASN_STRUCT_FREE(asn_DEF, ptr);
ASN_STRUCT_FREE_CONTENTS_ONLY(asn_DEF, ptr);
```

These macros bear the same semantics as the *free_struct* function invocation, discussed above.

Chapter 3

Step by step examples

3.1 A "Rectangle" Encoder

This example will help you create a simple BER and XER encoder of a "Rectangle" type used throughout this document.

1. Create a file named **rectangle.asn1** with the following contents:

```
RectangleModule1 DEFINITIONS ::= BEGIN

Rectangle ::= SEQUENCE {
   height INTEGER,
   width INTEGER
}
```

2. Compile it into the set of .c and .h files using asn1c compiler [ASN1C]:

```
asn1c rectangle.asn1
```

- 3. Alternatively, use the Online ASN.1 compiler [AONL] by uploading the **rectangle.asn1** file into the Web form and unpacking the produced archive on your computer.
- 4. By this time, you should have gotten multiple files in the current directory, including the **Rectangle.c** and **Rectangle.h**.
- 5. Create a main() routine which creates the Rectangle_t structure in memory and encodes it using BER and XER encoding rules. Let's name the file **main.c**:

```
#include <stdio.h>
#include <sys/types.h>
#include <Rectangle.h>
                        /* Rectangle ASN.1 type */
/* Write the encoded output into some FILE stream. */
static int write out(const void *buffer, size t size, void *app key) {
   FILE *out fp = app key;
   size t wrote = fwrite(buffer, 1, size, out fp);
   return (wrote == size) ? 0 : -1;
int main(int ac, char **av) {
   Rectangle t *rectangle; /* Type to encode
                          /* Encoder return value */
   asn_enc_rval_t ec;
    /* Allocate the Rectangle_t */
   rectangle = calloc(1, sizeof(Rectangle_t)); /* not malloc! */
   if(!rectangle) {
       perror("calloc() failed");
        exit(1);
   }
    /* Initialize the Rectangle members */
   rectangle->height = 42;  /* any random value */
   rectangle->width = 23; /* any random value */
    /* BER encode the data if filename is given */
   if(ac < 2) {
        fprintf(stderr, "Specify filename for BER output\n");
    } else {
        const char *filename = av[1];
        FILE *fp = fopen(filename, "wb"); /* for BER output */
        if(!fp) {
         perror(filename);
         exit(1);
        }
        /* Encode the Rectangle type as BER (DER) */
        ec = der encode(&asn DEF_Rectangle, rectangle, write out, fp);
        fclose(fp);
        if(ec.encoded == -1) {
          fprintf(stderr, "Could not encode Rectangle (at %s)\n",
              ec.failed type ? ec.failed type->name : "unknown");
         exit(1);
          fprintf(stderr, "Created %s with BER encoded Rectangle\n", filename);
        }
   }
    /* Also print the constructed Rectangle XER encoded (XML) */
   xer fprint(stdout, &asn DEF Rectangle, rectangle);
   return 0; /* Encoding finished successfully */
 }
```

6. Compile all files together using C compiler (varies by platform):

```
cc -I. -o rencode *.c
```

7. Voila! You have just created the BER and XER encoder of a Rectangle type, named **rencode**!

3.2 A "Rectangle" Decoder

This example will help you to create a simple BER decoder of a simple "Rectangle" type used throughout this document.

1. Create a file named **rectangle.asn1** with the following contents:

```
RectangleModule1 DEFINITIONS ::= BEGIN

Rectangle ::= SEQUENCE {
   height INTEGER,
   width INTEGER
}
```

2. Compile it into the set of .c and .h files using asn1c compiler [ASN1C]:

```
asn1c rectangle.asn1
```

- 3. Alternatively, use the Online ASN.1 compiler [AONL] by uploading the **rectangle.asn1** file into the Web form and unpacking the produced archive on your computer.
- 4. By this time, you should have gotten multiple files in the current directory, including the **Rectangle.c** and **Rectangle.h**.
- 5. Create a main() routine which takes the binary input file, decodes it as it were a BER-encoded Rectangle type, and prints out the text (XML) representation of the Rectangle type. Let's name the file **main.c**:

```
#include <stdio.h>
#include <sys/types.h>
#include <Rectangle.h> /* Rectangle ASN.1 type */
int main(int ac, char **av) {
```

```
/* Temporary buffer
                                              */
char buf[1024];
Rectangle_t *rectangle = 0; /* Type to decode. Note this 01! */
asn_dec_rval_t rval; /* Decoder return value */
                  /* Input file handler */
size_t size;
FILE *fp;
                   /* Number of bytes read */
char *filename;
                   /* Input file name */
/* Require a single filename argument */
if(ac != 2) {
    fprintf(stderr, "Usage: %s <file.ber>\n", av[0]);
} else {
    filename = av[1];
/* Open input file as read-only binary */
fp = fopen(filename, "rb");
if(!fp) {
    perror(filename);
    exit(1);
}
/* Read up to the buffer size */
size = fread(buf, 1, sizeof(buf), fp);
fclose(fp);
if(!size) {
    fprintf(stderr, "%s: Empty or broken\n", filename);
    exit(1);
/* Decode the input buffer as Rectangle type */
rval = ber_decode(0, &asn_DEF_Rectangle, (void **)&rectangle, buf, size);
if(rval.code != RC OK) {
    fprintf(stderr, "%s: Broken Rectangle encoding at byte %ld\n", filename,
        (long)rval.consumed);
    exit(1);
}
/* Print the decoded Rectangle type as XML */
xer_fprint(stdout, &asn_DEF_Rectangle, rectangle);
return 0; /* Decoding finished successfully */
```

6. Compile all files together using C compiler (varies by platform):

```
cc -I. -o rdecode *.c
```

7. Voila! You have just created the BER decoder of a Rectangle type, named **rdecode**!

¹Forgetting to properly initialize the pointer to a destination structure is a major source of support requests.

Chapter 4

Constraint validation examples

This chapter shows how to define ASN.1 constraints and use the generated validation code.

4.1 Adding constraints into "Rectangle" type

This example shows how to add basic constraints to the ASN.1 specification and how to invoke the constraints validation code in your application.

1. Create a file named **rectangle.asn1** with the following contents:

```
RectangleModuleWithConstraints DEFINITIONS ::= BEGIN

Rectangle ::= SEQUENCE {
   height INTEGER (0..100), -- Value range constraint
   width INTEGER (0..MAX) -- Makes width non-negative
}
END
```

- 2. Compile the file according to procedures shown in the previous chapter.
- 3. Modify the Rectangle type processing routine (you can start with the main() routine shown in the Section 3.2 on page 20) by placing the following snippet of code *before* encoding and/or *after* decoding the Rectangle type¹:

¹Placing the constraint checking code *before* encoding helps to make sure you know the data is correct and within constraints before sharing the data with anyone else.

- 4. Compile the resulting C code as shown in the previous chapters.
- 5. Try to test the constraints checking code by assigning integer value 101 to the **.height** member of the Rectangle structure, or a negative value to the **.width** member. In either case, the program should print "Constraint validation failed" message, followed by a short explanation why validation did not succeed.
- 6. Done.

Placing the constraint checking code *after* decoding, but before any further action depending on the decoded data, helps to make sure the application got the valid contents before making use of it.

Part II ASN.1 Basics

Chapter 5

Abstract Syntax Notation: ASN.1

This chapter defines some basic ASN.1 concepts and describes several most widely used types. It is by no means an authoritative or complete reference. For more complete ASN.1 description, please refer to Olivier Dubuisson's book [Dub00] or the ASN.1 body of standards itself [ITU-T/ASN.1].

The Abstract Syntax Notation One is used to formally describe the semantics of data transmitted across the network. Two communicating parties may have different formats of their native data types (i. e. number of bits in the integer type), thus it is important to have a way to describe the data in a manner which is independent from the particular machine's representation. The ASN.1 specifications are used to achieve the following:

- The specification expressed in the ASN.1 notation is a formal and precise way to communicate the data semantics to human readers;
- The ASN.1 specifications may be used as input for automatic compilers which produce the code for some target language (C, C++, Java, etc) to encode and decode the data according to some encoding rules (which are also defined by the ASN.1 standard).

Consider the following example:

```
Rectangle ::= SEQUENCE {
   height INTEGER,
   width INTEGER
}
```

This ASN.1 specification describes a constructed type, *Rectangle*, containing two integer fields. This specification may tell the reader that there exists this kind of data structure and that some entity may be prepared to send or receive it. The question on *how* that entity is going to send or receive the *encoded data* is outside the scope of ASN.1. For example, this data

structure may be encoded according to some encoding rules and sent to the destination using the TCP protocol. The ASN.1 specifies several ways of encoding (or "serializing", or "marshaling") the data: BER, PER, XER and others, including CER and DER derivatives from BER.

The complete specification must be wrapped in a module, which looks like this:

The module header consists of module name (RectangleModule1), the module object identifier ({...}), a keyword "DEFINITIONS", a set of module flags (AUTOMATIC TAGS) and "::= BEGIN". The module ends with an "END" statement.

5.1 Some of the ASN.1 Basic Types

5.1.1 The BOOLEAN type

The BOOLEAN type models the simple binary TRUE/FALSE, YES/NO, ON/OFF or a similar kind of two-way choice.

5.1.2 The INTEGER type

The INTEGER type is a signed natural number type without any restrictions on its size. If the automatic checking on INTEGER value bounds are necessary, the subtype constraints must be used.

```
SimpleInteger ::= INTEGER

-- An integer with a very limited range
SmallPositiveInt ::= INTEGER (0..127)

-- Integer, negative
NegativeInt ::= INTEGER (MIN..0)
```

5.1.3 The ENUMERATED type

The ENUMERATED type is semantically equivalent to the INTEGER type with some integer values explicitly named.

```
FruitId ::= ENUMERATED { apple(1), orange(2) }
-- The numbers in braces are optional,
-- the enumeration can be performed
-- automatically by the compiler
ComputerOSType ::= ENUMERATED {
   FreeBSD,
                   -- acquires value 0
   Windows,
                    -- acquires value 1
   Solaris(5),
                    -- remains 5
   Linux,
                    -- becomes 6
   MacOS
                     -- becomes 7
}
```

5.1.4 The OCTET STRING type

This type models the sequence of 8-bit bytes. This may be used to transmit some opaque data or data serialized by other types of encoders (i. e., video file, photo picture, etc).

5.1.5 The OBJECT IDENTIFIER type

The OBJECT IDENTIFIER is used to represent the unique identifier of any object, starting from the very root of the registration tree. If your organization needs to uniquely identify something (a router, a room, a person, a standard, or whatever), you are encouraged to get your own identification subtree at http://www.iana.org/protocols/forms.htm.

For example, the very first ASN.1 module in this Chapter (RectangleModule1) has the following OBJECT IDENTIFIER: 1 3 6 1 4 1 9363 1 5 2 1 1.

```
ExampleOID ::= OBJECT IDENTIFIER

rectangleModule1-oid ExampleOID
    ::= { 1 3 6 1 4 1 9363 1 5 2 1 1 }

-- An identifier of the Internet.
internet-id OBJECT IDENTIFIER
    ::= { iso(1) identified-organization(3) dod(6) internet(1) }
```

As you see, names are optional.

5.1.6 The RELATIVE-OID type

The RELATIVE-OID type has the semantics of a subtree of an OBJECT IDENTIFIER. There may be no need to repeat the whole sequence of numbers from the root of the registration tree where the only thing of interest is some of the tree's subsequence.

```
this-document RELATIVE-OID ::= { docs(2) usage(1) }
this-example RELATIVE-OID ::= {
   this-document assorted-examples(0) this-example(1) }
```

5.2 Some of the ASN.1 String Types

5.2.1 The IA5String type

This is essentially the ASCII, with 128 character codes available (7 lower bits of an 8-bit byte).

5.2.2 The UTF8String type

This is the character string which encodes the full Unicode range (4 bytes) using multibyte character sequences.

5.2.3 The NumericString type

This type represents the character string with the alphabet consisting of numbers ("0" to "9") and a space.

5.2.4 The PrintableString type

The character string with the following alphabet: space, "" (single quote), "(", ")", "+", "," (comma), "-", ".", "/", digits ("0" to "9"), ":", "=", "?", upper-case and lower-case letters ("A" to "Z" and "a" to "z").

5.2.5 The VisibleString type

The character string with the alphabet which is more or less a subset of ASCII between the space and the "~" symbol (tilde).

5.3 ASN.1 Constructed Types

5.3.1 The SEQUENCE type

This is an ordered collection of other simple or constructed types. The SEQUENCE constructed type resembles the C "struct" statement.

```
Address ::= SEQUENCE {
    -- The apartment number may be omitted
    apartmentNumber NumericString OPTIONAL,
    streetName PrintableString,
    cityName PrintableString,
    stateName PrintableString,
    -- This one may be omitted too
    zipNo NumericString OPTIONAL
}
```

5.3.2 The SET type

This is a collection of other simple or constructed types. Ordering is not important. The data may arrive in the order which is different from the order of specification. Data is encoded in the order not necessarily corresponding to the order of specification.

5.3.3 The CHOICE type

This type is just a choice between the subtypes specified in it. The CHOICE type contains at most one of the subtypes specified, and it is always implicitly known which choice is being decoded or encoded. This one resembles the C "union" statement.

The following type defines a response code, which may be either an integer code or a boolean "true"/ "false" code.

```
ResponseCode ::= CHOICE {
   intCode INTEGER,
   boolCode BOOLEAN
}
```

5.3.4 The SEQUENCE OF type

This one is the list (array) of simple or constructed types:

5.3.5 The SET OF type

The SET OF type models the bag of structures. It resembles the SEQUENCE OF type, but the order is not important: i. e. the elements may arrive in the order which is not necessarily the same as the in-memory order on the remote machines.

```
-- A set of structures defined elsewhere
SetOfApples :: SET OF Apple

-- Set of integers encoding the kind of a fruit
FruitBag ::= SET OF ENUMERATED { apple, orange }
```

Bibliography